# Climate Predictability Tool (CPT)



Ousmane Ndiaye and Simon J. Mason <a href="mailto:cpt@iri.columbia.edu">cpt@iri.columbia.edu</a>

International Research Institute for Climate and Society
The Earth Institute of Columbia University

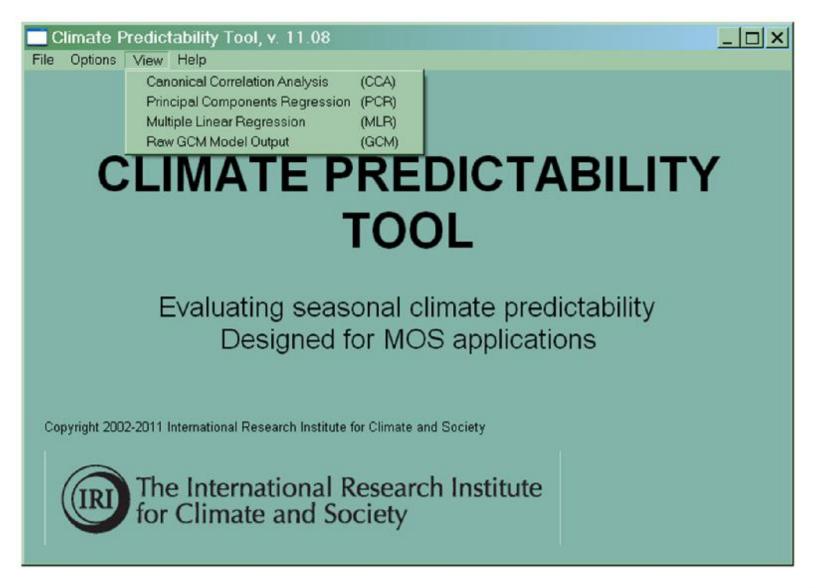


#### **OVERVIEW**

- The Climate Predictability Tool (CPT) provides a Windows package for :
  - seasonal climate forecasting
  - model validation
  - actual forecasts given updated data
- Uses ASCII input files
- Options :
  - Principal Components Regression (PCR)
  - Canonical Correlation Analysis (CCA)
  - Multi Linear Regression (MLR)
  - Global Model Output (GCM)
- Help Pages on a range of topics in HTML format
- Options to save outputs in ASCII format and graphics as JPEG
- Program source code is available for those using other systems (e.g., UNIX)



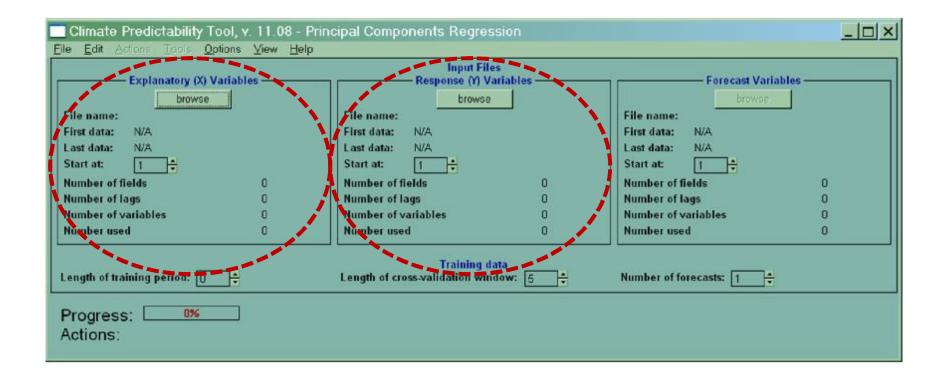
#### **SELECTING THE ANALYSIS**



Choose the analysis to perform: PCR, CCA, MLR, or GCM



#### **INPUT DATASETS**



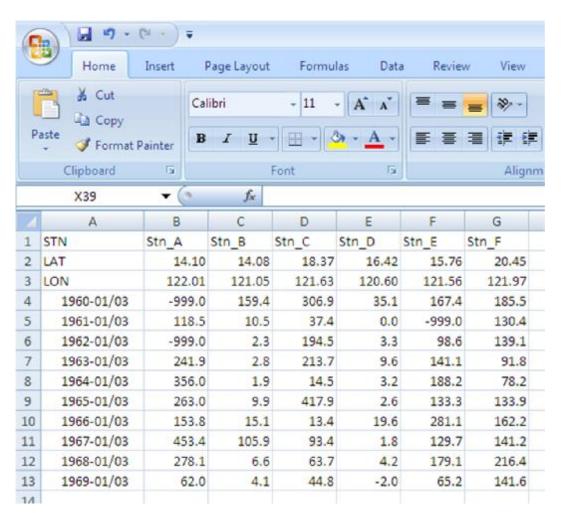
All analysis methods require two datasets:

"X variables" or "Predictors" dataset;

"Y variables" or "Predictands" dataset.



#### 1. STATION files



This file-type contains:

**Station\_name** (without spaces; ≤16 characters)

**Latitude** (south negative)

Longitude (west negative)

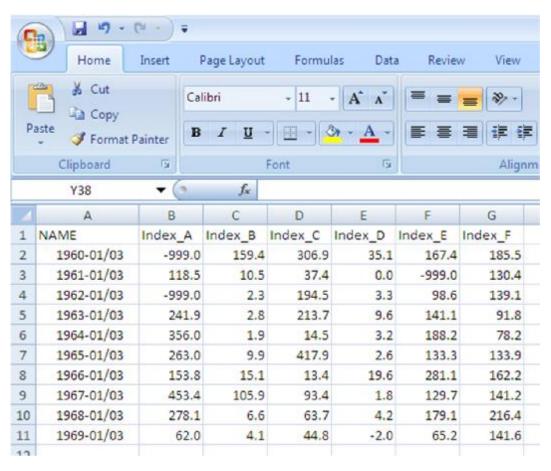
**Year-season** (in the first column)

**Data** (missing values should be filled with the same value, -999.0 for example)

Keywords: STN, LAT, LON



## 2. UNREFERENCED or INDEX files



This file-type contains:

Index\_name (without spaces;
≤16 characters)

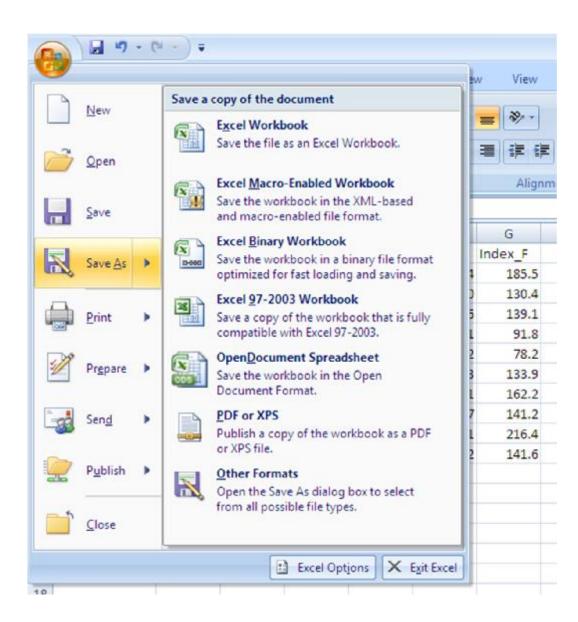
**Year-season** (in the first column)

**Data** (missing values should be filled with the same value, -999.0 for example)

#### **Keywords:**

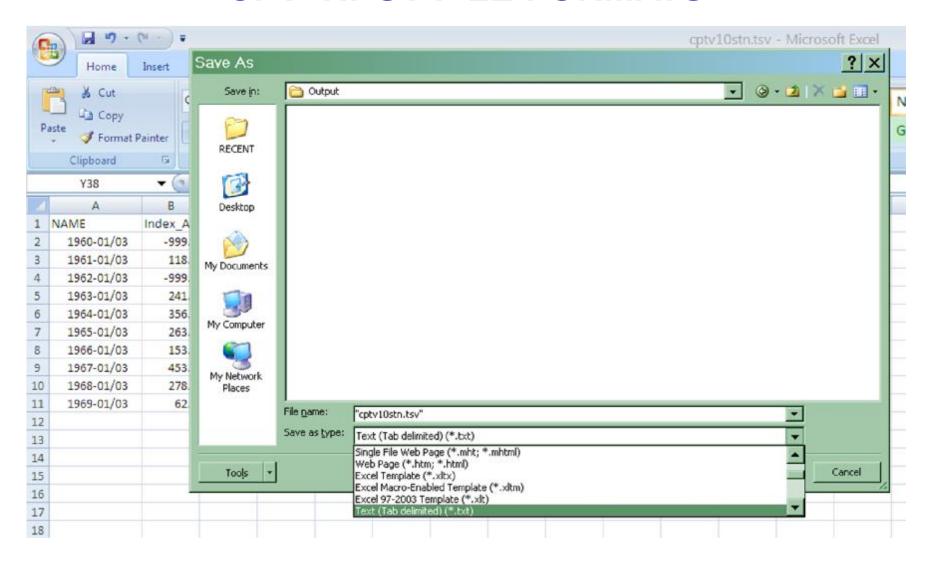
NAME or YEAR





The input files could be easily made using a spreadsheet such as Excel





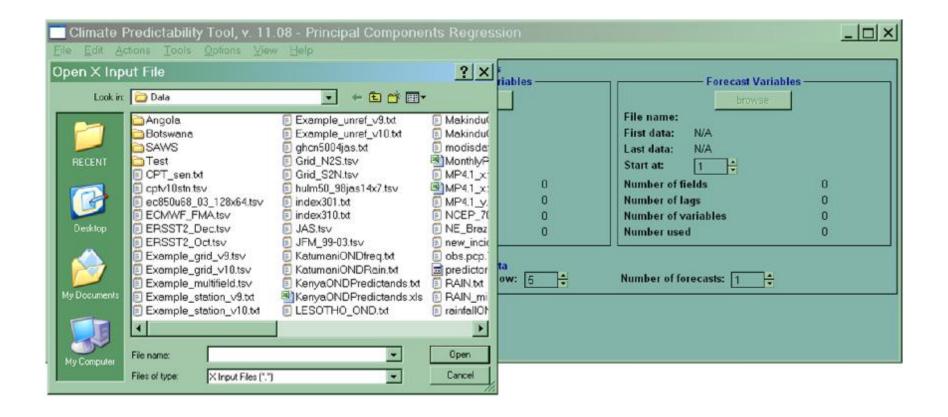
In Excel the file should be saved as: "Text (Tab delimited) (\*.txt)"



Climate Predictability Tool, v. 11.08 - Pr	incipal Components Regression		_   N		
Elle Edit Actions Tools Options View Help					
Explanatory (X) Variables  browse  File name:  First data: N/A  Last data: N/A  Start at: 1 •  Number of fields 0  Number of lags 0  Number of variables 0  Number used 0	Input Files  Response (Y) Variables  browse  File name: First data: N/A Last data: N/A Start at: Number of fields Number of lags Number of variables Number used  O	File name: First data: N/A Last data: N/A Start at: 1 + Number of fields 0 Number of variables 0 Number of variables 0 Number used 0			
Length of training period: 0					

To select input files just click on browse.





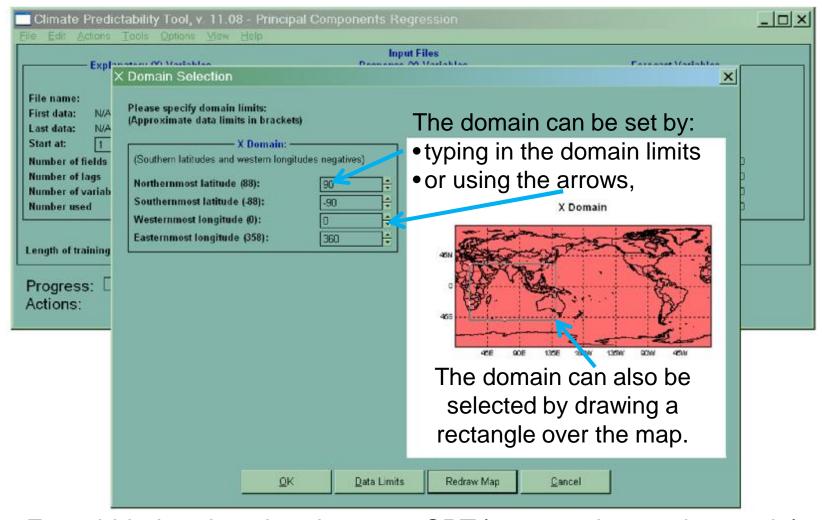
CPT opens a browser, which by default looks for data in:

C:\Documents and Settings\user\Application Data\CPT\Data\

or the directory specified during installation.

You can search for data from any other directory.

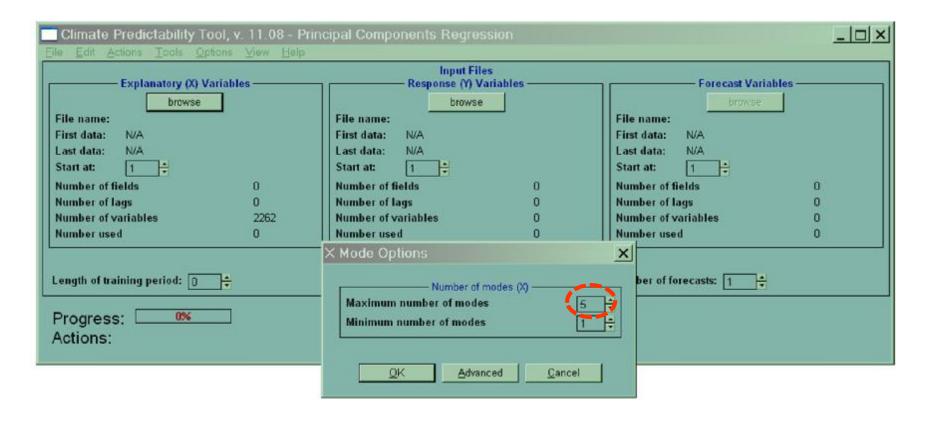




For gridded and station datasets, CPT lets you choose the spatial domain over which you want to perform your analysis. For the X file you should choose a domain from where the predictors are known to affect climate over the region to be predicted.



#### **SETTING ANALYSIS OPTIONS**



For PCR and CCA, you have to choose the number of EOFs for the predictor fields used to fit the model. If you set the minimum to be less than the maximum, CPT will find the optimum number of modes between the two numbers. If you set the minimum equal to the maximum, then CPT will use that number of modes.

1
1
2418
0

Proceed in the same way to select your file containing the Y variables (predictands).



#### **SETTING THE TRAINING PERIOD**

Climate Predictability Tool,	v. 11.08 - Prii	ncipal Components Regre	ession		_   N	
File Edit Actions Tools Options	⊻iew <u>H</u> elp					
Explanatory (X) Variable browse  File name: ERSST3_Jan.tsv  First data: Jan 1950 Last data: Jan 2014 Start ata 1950 Number of fields Number of gridpoint Number used	1 1 2418 0	Input F Response (Y) brows File name: NE_Brazil_v9.t First data: FMA 1971 Last data: FMA 1997 Number of fields Number of lags Number of stations Number used	Variables ————————————————————————————————————	Forecast Varial browse File name: ERSST3_Jan.tsv First data: Jan 1950 Last data: Jan 2011 Start at: 2011 \$ Number of fields Number of lags Number of gridpoint Number used	1 1 2418	
Training data Length of training period: 27						

By default CPT usually starts the analysis from the first years in the X and Y files; note that these years could be different. You would normally set them equal to the latest of the two first years in the files. (In the example, the start date for the X file would normally be set to 1971.) If you cross the calendar year while using December predictors, for example, the starting year for the X file will need to be one year earlier than for the Y file. (In this case, 1970.)

If you use a NDJ or DJF season, the year is for the first month.

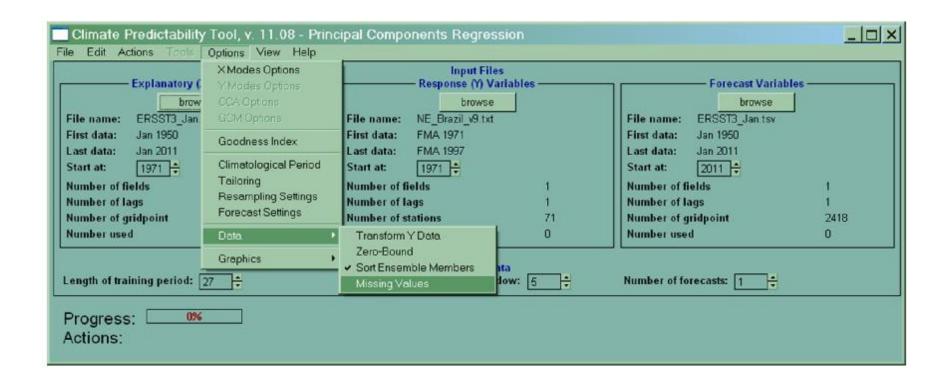
## **SETTING THE TRAINING PERIOD**

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		1		
	First data: FMA 1971		First data: Jan 1950	
	Last data: FMA 1997		Last data: Jan 2011	
• • • • • • • • • •	Start a 1971 🛊		Start at: 2011 💠	
1	Number of fields	1	Number of fields	1
1	Number of lags	1	Number of lags	1
2418	Number of stations	71	Number of gridpoint	2418
0	Number used	0	Number used	0
	1 1 2418	File name: NE_Brazil_v9.txd First data: FMA 1971 Last data: FMA 1937 Start at 1971 1 Number of fields Number of lags Number of stations	File name: NE_Brazil_v9.txt First data: FMA 1971 Last data: FMA 1997 Start at 1971 \$\displaystart 1971 \$\d	File name: NE_Brazil_v9.txt First data: FMA 1971 Last data: FMA 1997 Start at. 1971 \$\div \text{ last data: Jan 1950} \text{ Last data: Jan 2011} Number of fields 1 Number of fields Number of lags 1 Number of gridpoint

You have to specify the length of the training period. By default, CPT will try to use as many years as are available.



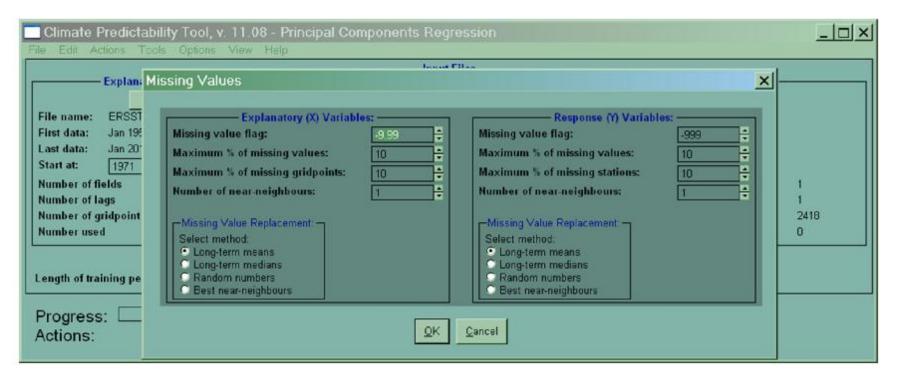
#### **MISSING VALUES**



If you have missing values in your dataset, you need to specify what you want CPT to do with them.



#### **MISSING VALUES**



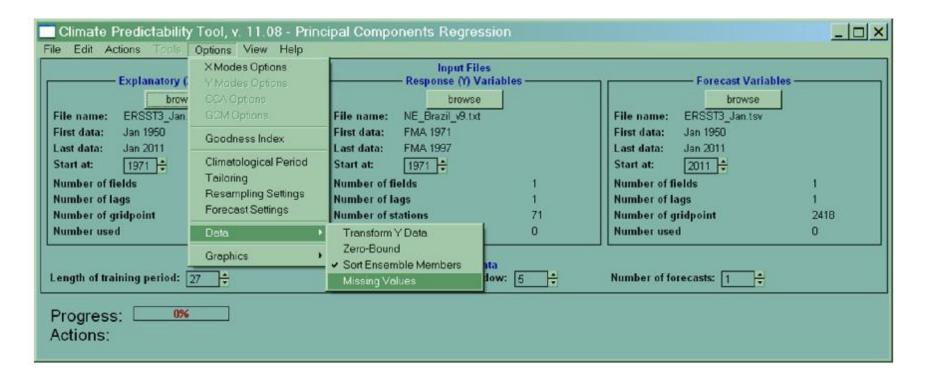
Next to the Missing value flag box, you need to specify the number in your dataset that represents a missing value.

You can choose the Maximum % of missing values. If a station has more than that percentage of missing values, CPT will not use that station in its model.

You can choose the Maximum % of missing stations. If a year has more than that percentage of missing values, CPT will not use that year in its model.

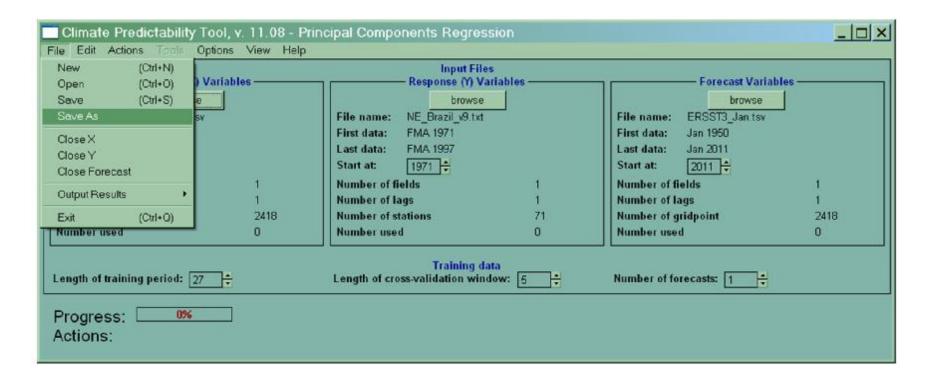
You can also choose which method you want CPT to use to replace the values. If you choose Best nearest neighbours then CPT will use the Number of near-neighbours that you specify.

#### **RAINFALL**



If you are predicting rainfall, you may want to switch on the Zero-Bound, which will force CPT never to predict negative values. If the rainfall data are positively skewed (have occasional very large values), you may also want to switch on Transform Y Data, which will help to prevent lowest forecast probabilities on "normal".

#### **SAVING PROGRAM SETTINGS**



Once you have selected the input files and your settings it is a good idea to save these settings in a project file to recall them later:

File ~ Save

By default, CPT saves all the project files in the subdirectory





## **RUNNING CPT**

Climate Predictability	Tool, v. 11.08 - Ex Options ⊻iew <u>H</u> elp	cample (PCR)			_ _X
Calculate •		Input F Response (Y) brows File name: NE_Brazil_v9.t First data: FMA 1971 Last data: FMA 1997 Start at: 1971 \$\displayset\$ Number of fields Number of stations Number used	Variables ————————————————————————————————————	Forecast Variable browse File name: ERSST3_Jan.tsv First data: Jan 1950 Last data: Jan 2011 Start at: 2011 \$\displayset\$ Number of fields Number of lags Number of gridpoint Number used	1 1 1 2418 0
Length of training period: 27 \$ Length of cross-validation window: 5 \$ Number of forecasts: 1 \$  Progress: 0%  Actions:					

Then you can run the analysis:

Actions ~ Calculate ~ Cross-validated



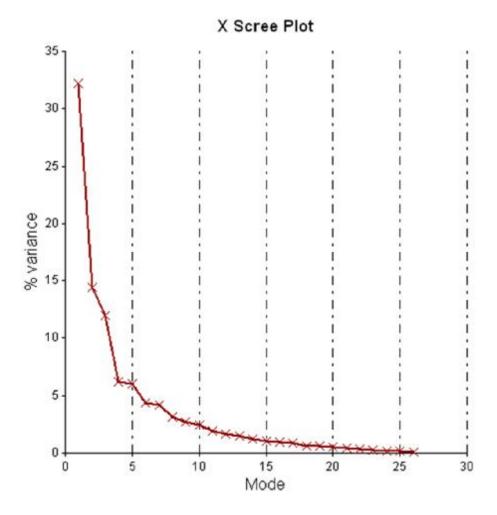
#### **DATA ANALYSIS**

CURRENT		OPTIMUM		
Number of Modes	Goodness Index	Number of Modes	Goodness Index	
1	-0.105	1	-0.105	
2	0.031	2	0.031	
3	0.214	3	0.214	
4	0.144	3	0.214	
5	0.112	3	0.214	

#### **Optimizing the number of EOF modes:**

- 1. CPT uses EOF #1 to make cross-validated forecasts then calculates a "goodness index" summarizing how good all the forecasts are (the closer to 1.0 the better). Then CPT uses EOF #1 and #2 to remake cross-validated forecasts and calculates a new goodness index for these, and so on until all five EOFs have been used.
- 2. At each step CPT compares the goodness indices and retains under the column "OPTIMUM" the highest goodness index and the corresponding number of EOFs (in the example above, 3).
- 3. CPT uses this number of EOFs (i.e., 3) to build the model.

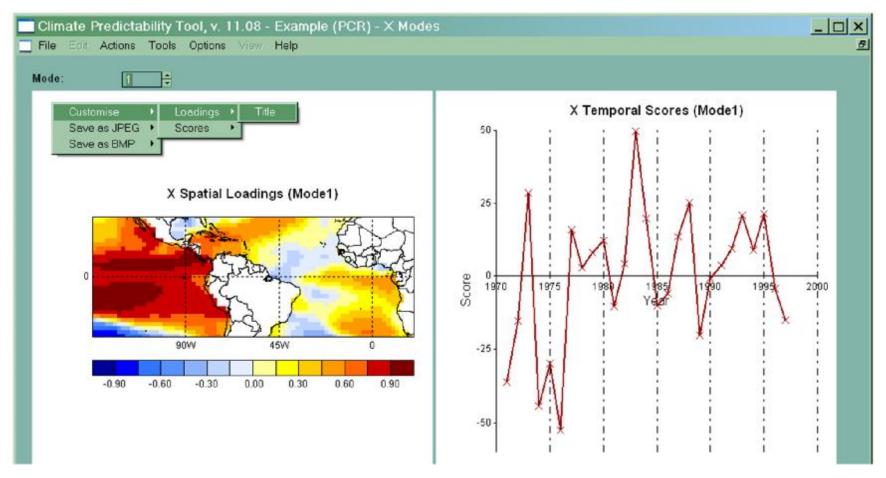
## **RESULTS – GRAPHICS**



The menu Tools ~ Modes ~ Scree plots displays the percentage of variance associated with each EOF plotted.



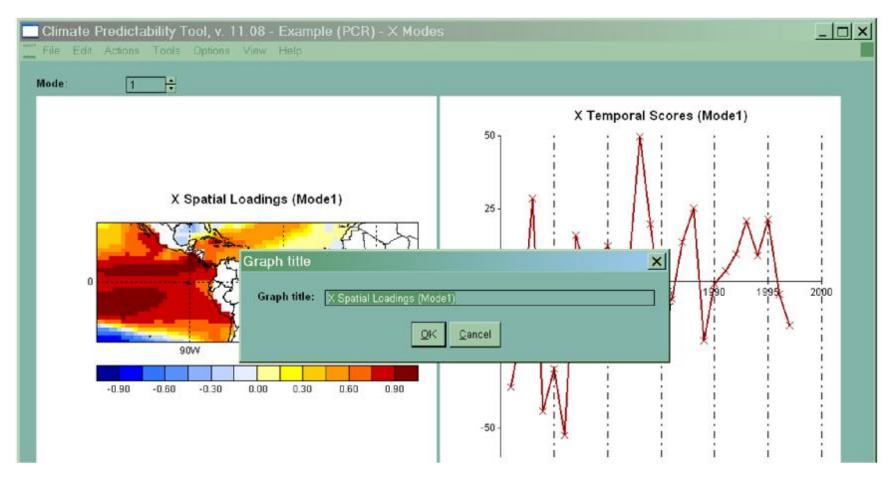
## **RESULTS – GRAPHICS**



- 1. The menu Tools ~ Modes ~ X EOF loadings and scores displays the loading pattern of each EOF and the temporal series.
- 2. CPT allows you to customize and save each graphic by: right-clicking on the mouse selecting the graphic to customize / save



## **CHANGING THE TITLE**

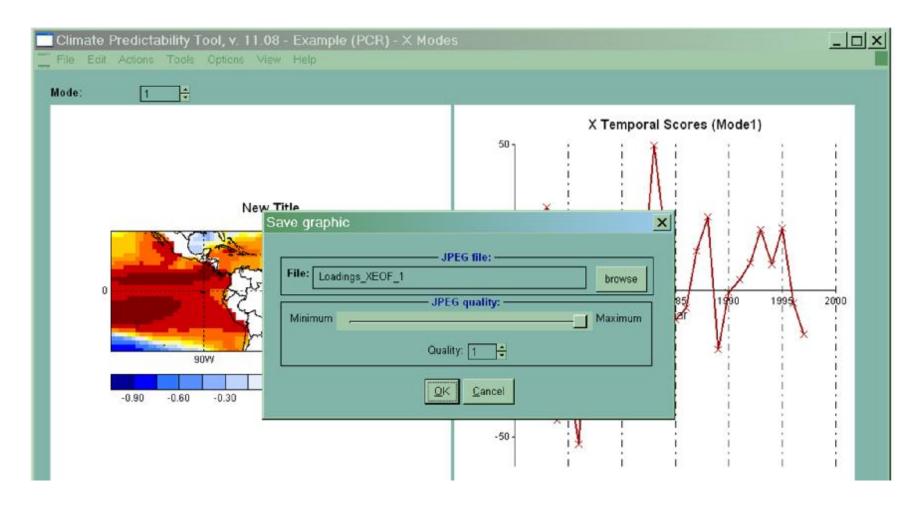


To change the title of the map

- 1. right-click the mouse
- 2. go to EOF Loadings
- 3. click on Title



#### **SAVING GRAPHICS**

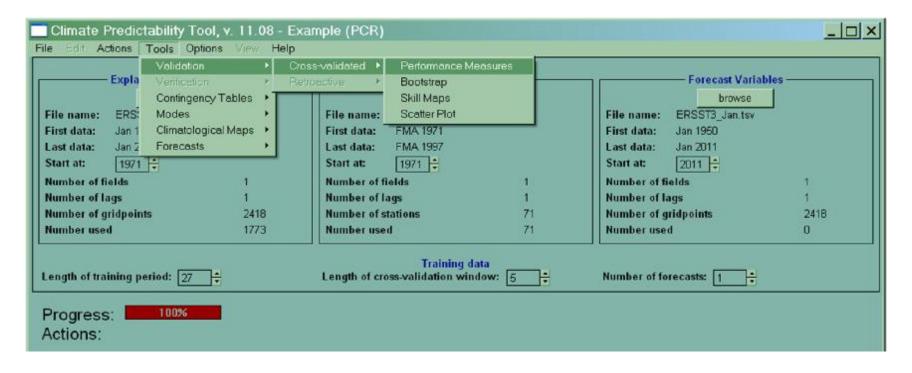


You can choose the name of the graphic output file by clicking on browse. You can adjust the quality of the JPEG graphic as well.

All the output files are saved by default under:

C:\Documents and settings\user\Application Data\CPT\Output\

#### **RESULTS**



To see the results go to the menu "Tools":

Validation: shows skill, hindcasts and observed series

Verification: shows probabilistic skill information for retroactive

forecasts

Contingency Tables: shows contingency tables

Modes: shows EOF time series, loading patterns and scree plot

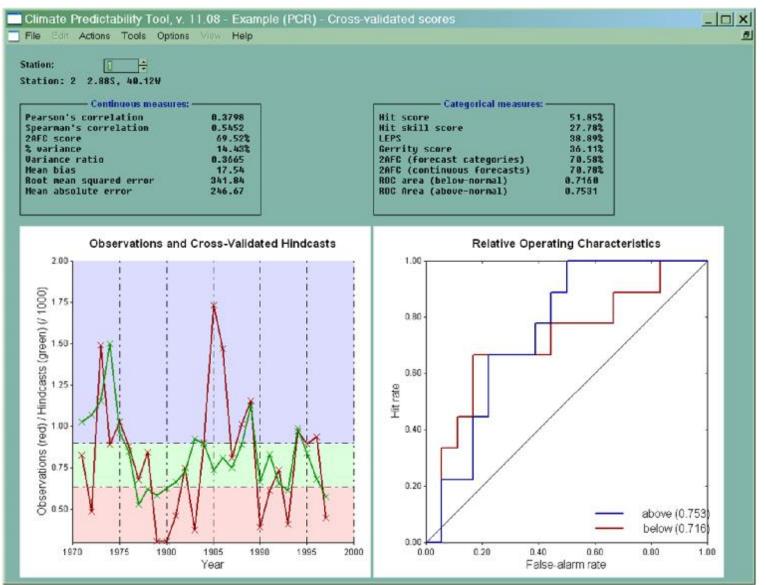
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Climatological Maps: shows maps of terciles and averages

#### **RESULTS**

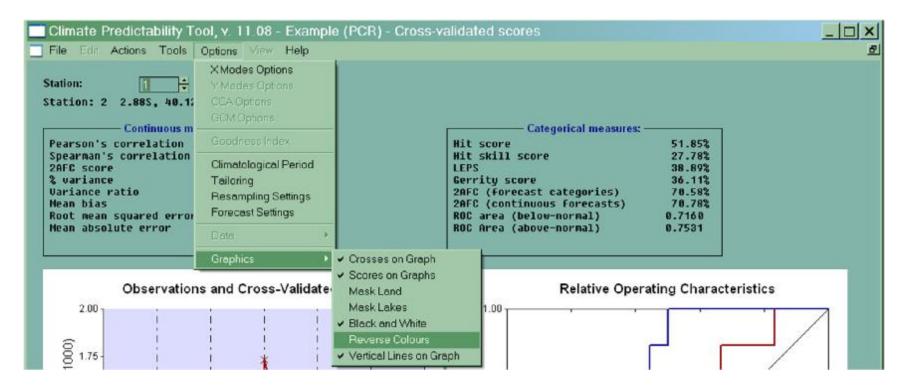
To see the observations and cross-validated forecasts at each station go to:

Tools ~ Validation ~ Cross-Validated ~ Performance Measures





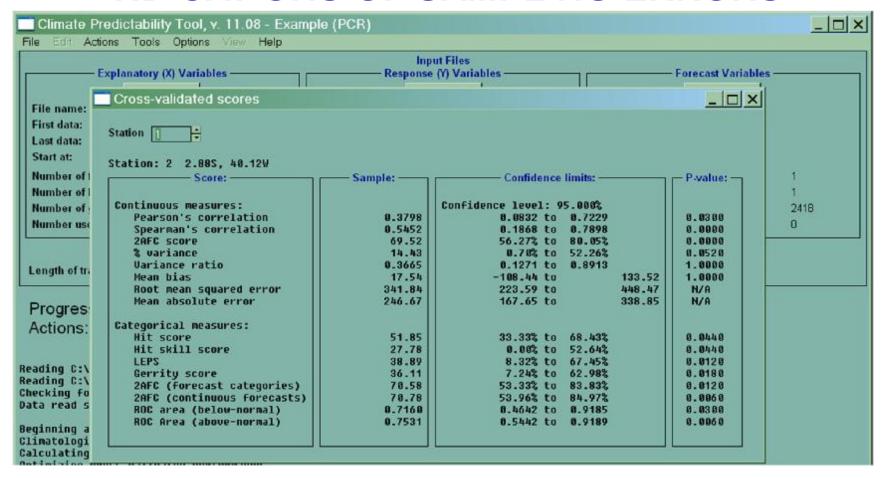
#### **REVERSING THE COLORS**



#### Options ~ Graphics ~ Reverse Colors

If you are forecasting temperature instead of precipitation, then it would be more intuitive to have red (hot) for above and blue (cold) for below, so you might want to invert the default colors. You might also want black and white images if they are to be included in a report or publication.

#### INDICATIONS OF SAMPLING ERRORS

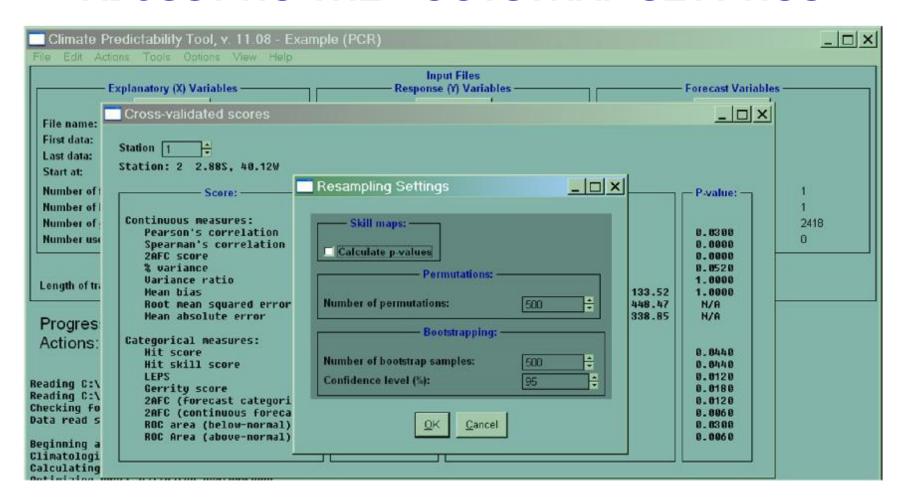


For indications of sampling errors in the performance measures go to:

Tools ~ Validation ~ Cross-Validated ~ Bootstrap



#### **ADJUSTING THE BOOTSTRAP SETTINGS**

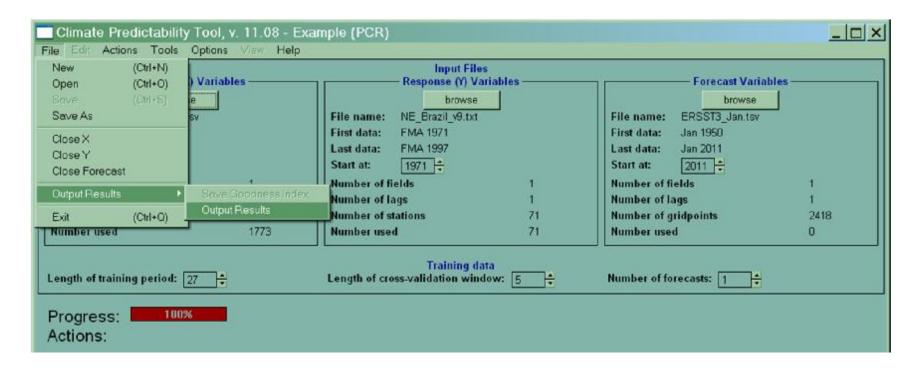


## Options ~ Resampling Settings

CPT allows you to adjust the bootstrap settings.



#### **RESULTS – DATA FILES**

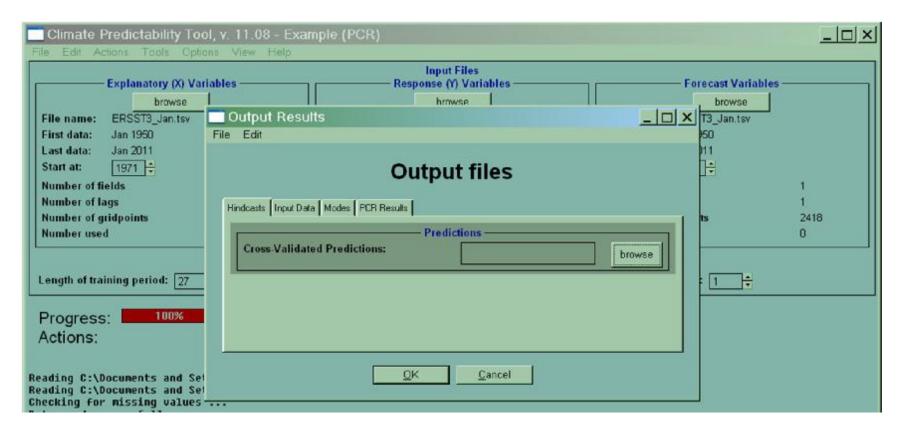


The menu File ~ Output Results ~ Output Results allows you to save output data:

- Cross-validated forecasts
- 2. The input data (with the missing values filled)
- 3. EOFs: time series, loading patterns, variance
- 4. The parameters (coefficients) of the model (example:  $Y = \underline{a}x + \underline{b}$ )

IRI

### **SAVING OUTPUT FILES**



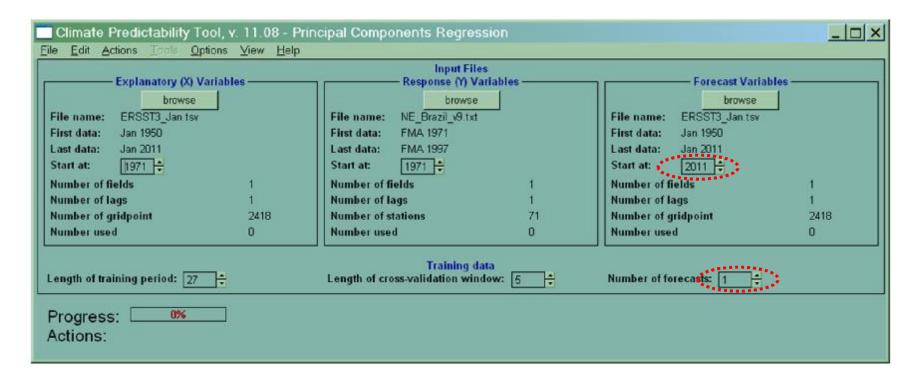
In order to save the outputs you have to specify a file name by clicking on browse. By default CPT saves the output files under:

C:\Documents and settings\user\Application Data\CPT\Output\



ile <u>E</u> dit <u>Actions Tools O</u> pti	ions ⊻iew <u>H</u> elp	Input Fi	es		-
Explanatory (X) Va	riables ———	Response (Y) V	/ariables ———	Forecast Variables	
browse		browse		browse	
File name: ERSST3_Jan.tsv	<del>-</del>	File name: NE_Brazil_v9.tx	1	File name: ERSST3_Jan.tsv	
First data: Jan 1950		First data: FMA 1971		First data: Jan 1950	
Last data: Jan 2011		Last data: FMA 1997		Last data: Jan 2011	
Start at: 1971 ÷		Start at: 1971 +		Start at: 2011 +	
Number of fields	1	Number of fields	1	Number of fields	1 /
Number of lags	1	Number of lags	1	Number of lags	1 /
Number of gridpoint	2418	Number of stations	71	Number of gridpoint	2418
Number used	0	Number used	0	Number used	0
Length of training period: 27	18	Training C Length of cross-validation with	lata ndow: 5	Number of forecasts: 1	
Progress: 0% Actions:					

Once your model is built, you can make a forecast using a forecast file with new records of the X variables stored in a "forecast file". By default CPT selects the same input predictor file as the X file. You can change it by clicking browse.



#### You then select:

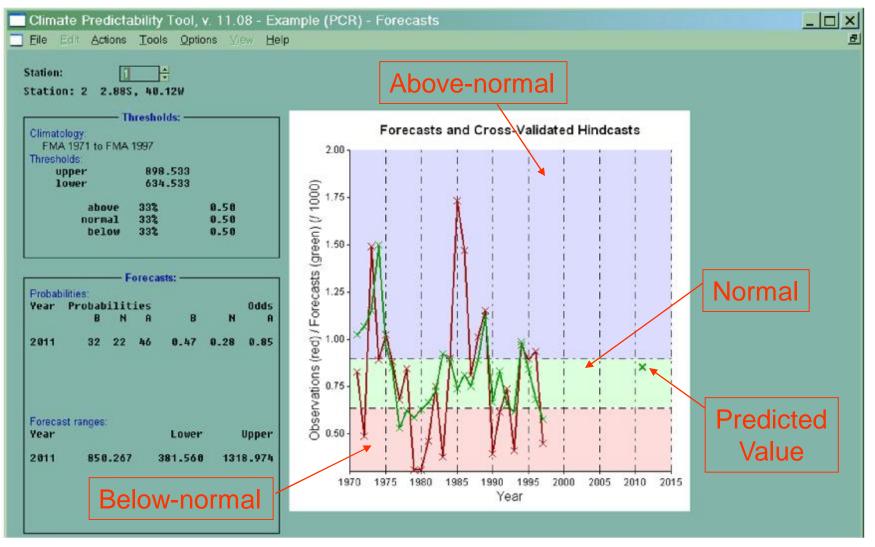
- the starting year of the forecasts (the year is for the predictors not the predictand – for example if you are forecasting JFM 2012 from December 2011 SSTs, the year should be 2011.
- 2. the number of years to forecast



THE RESERVE THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TRANSPORT NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TRANSPORT NAMED IN COLUMN TWO IS NAMED I	ability Tool, v. 11.08 - E Tools Options Yiew Help	xample (PCR)			_   D ×
File name: ERS: First data: Jan 1 Last data: Jan 2 Start at: 1971 Number of fields Number of gridpoints Number used	Validation Verification Contingency Tables Modes Climatological Maps Forecosts S E	Input Fi Response (Y) \ brows File name: NE_Brazil_v9.tx First data: FMA 1971 FMA 1997 Insembles	/ariables e	Forecast Variables browse  File name: ERSST3_Jan.tsv First data: Jan 1950 Last data: Jan 2011 Start at: 2011 * Number of fields Number of lags Number used	1 1 2418 0
Progress: Actions:	ried: 27 ♣	Training Length of cross-validation wi		Number of forecasts: 1 ♣	

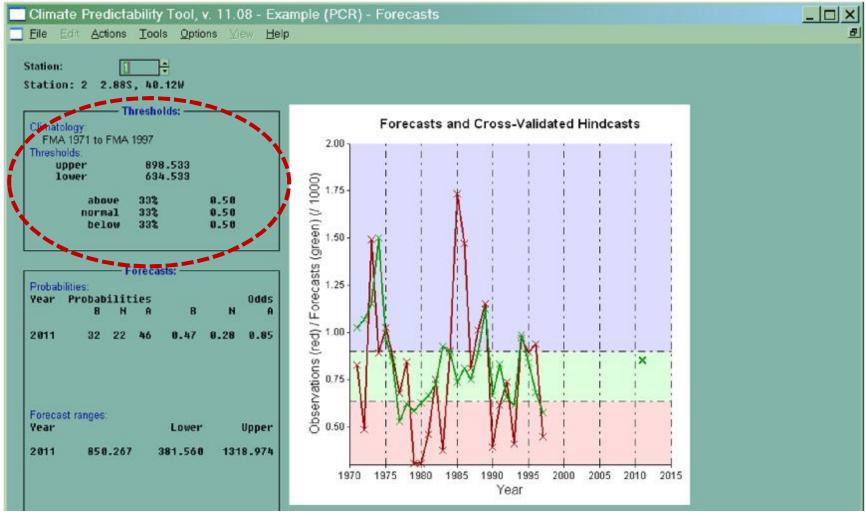
Once the file is selected and the years to forecast are chosen go to the menu Tools ~ Forecast ~ Series or Maps.



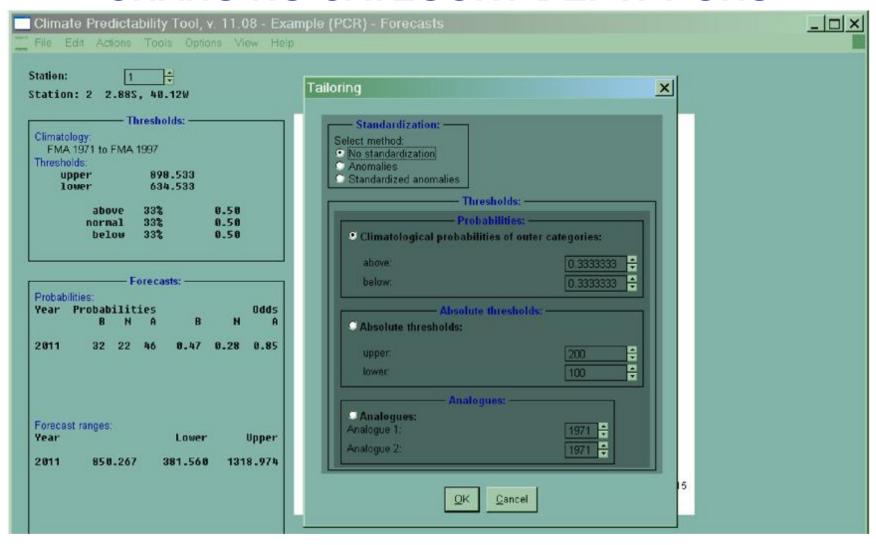


The option Series shows a graph of the cross-validated forecasts (green line) and the prediction (cross) for the current station, as well as detailed information about the forecast.

## **FORECAST**

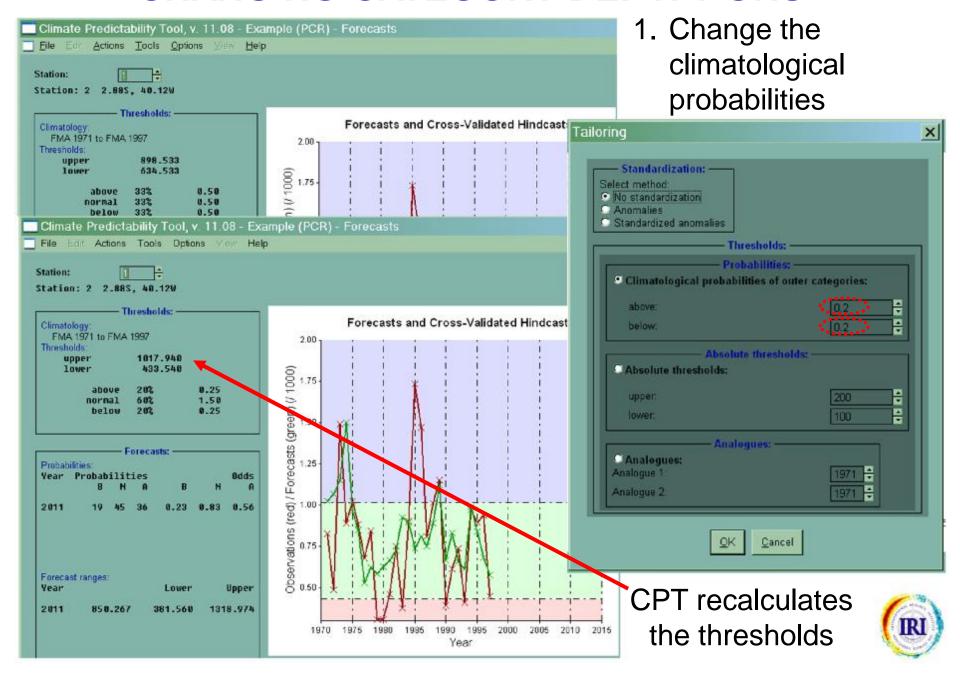


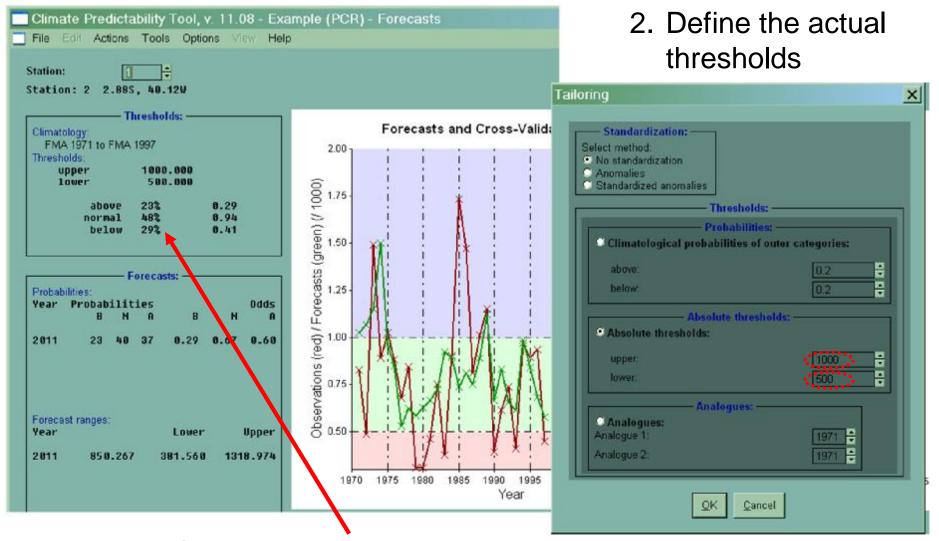
The "Thresholds" box indicates the definitions of below- and above-normal (less than the lower threshold and more than the upper threshold, respectively.) The climatological probabilities and odds of each of the three categories are shown.



There are three ways to change how the categories are defined.

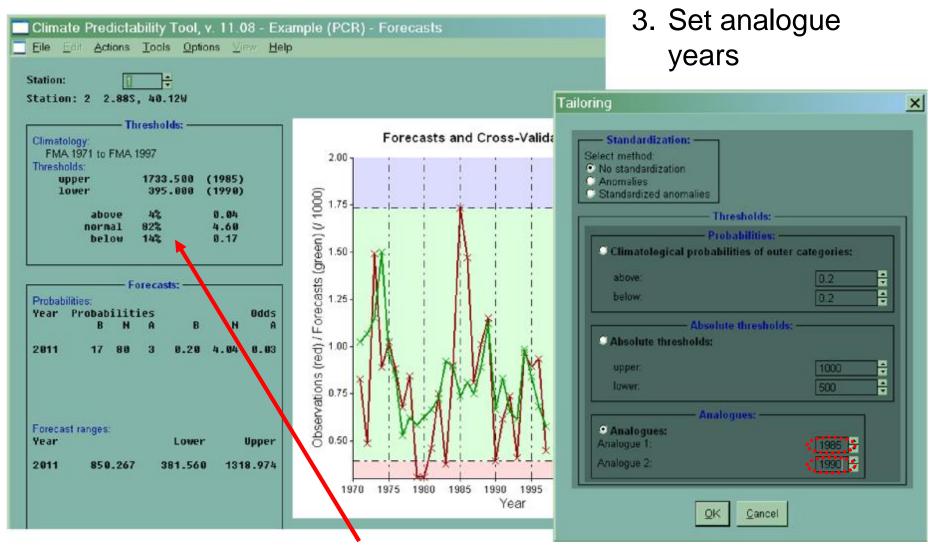
Options ~ Tailoring





CPT recalculates the climatological probabilities

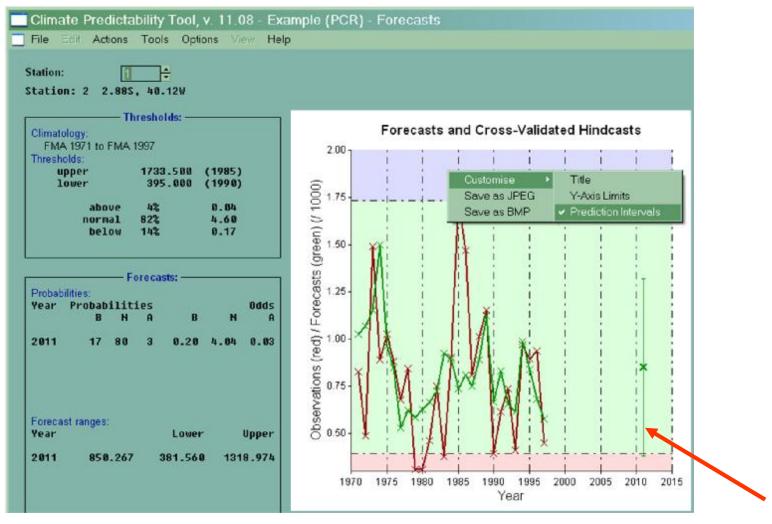




CPT recalculates the thresholds, and the climatological probabilities and odds



# PREDICTION INTERVALS

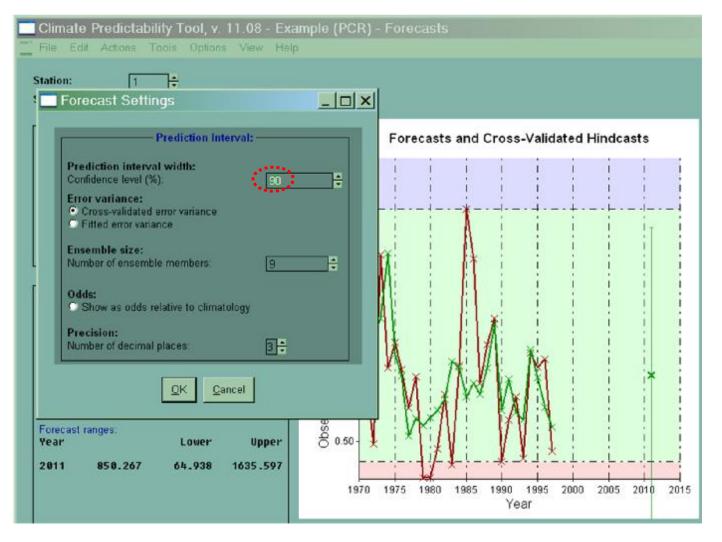


To draw error bars on the forecast, right click on the graph:

Customize ~ Prediction Intervals
An error bar is indicated.



## CHANGING THE PREDICTION INTERVAL



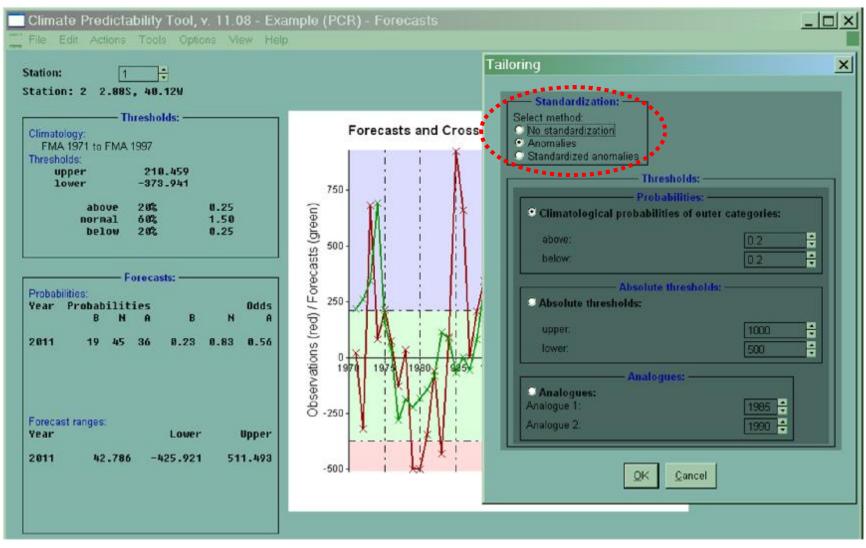
You can also change the width of the prediction interval.

Options ~ Forecast Settings ~ Prediction interval width

The default setting of 68.3% gives standard error bars.



# **EXPRESSING THE FORECAST AS ANOMALIES**

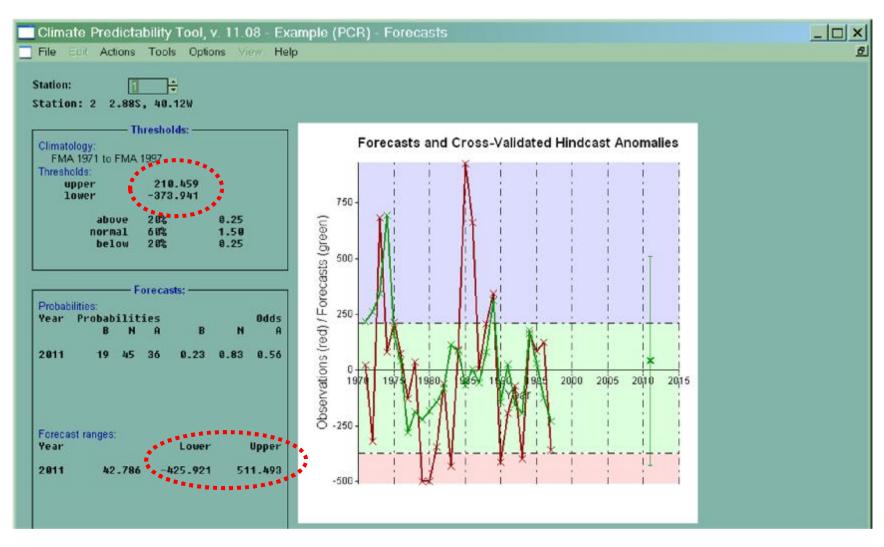


The forecast can be expressed as anomalies, rather than absolute values:

Options ~ Forecast Settings ~ Standardization ~ Anomalies



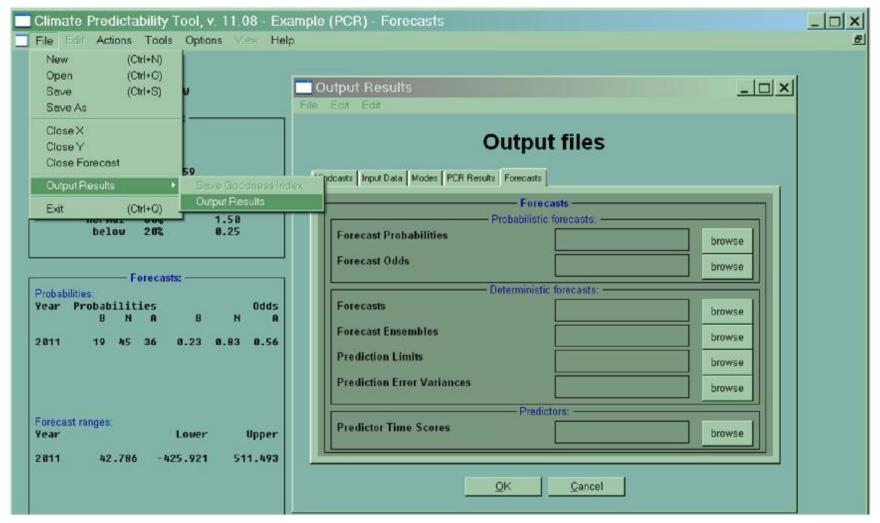
# **EXPRESSING THE FORECAST AS ANOMALIES**



The thresholds, as well as the forecast ranges, are now defined as anomalies.



# **SAVING FORECASTS**

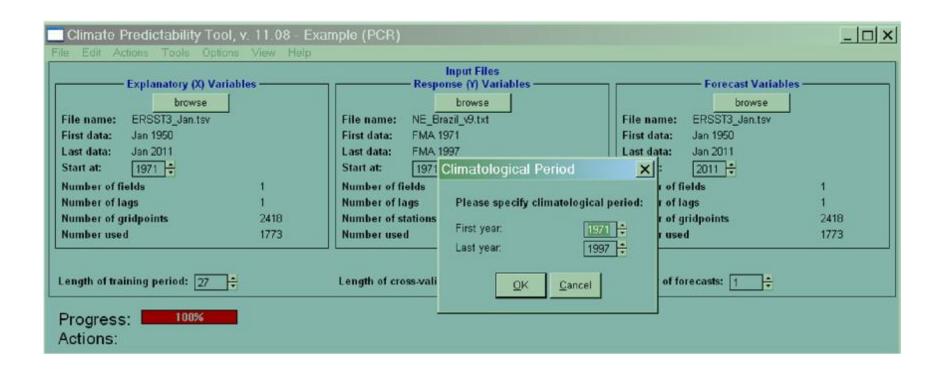


To save the forecasts, go to

File ~ Output Results ~ Output Results and on the Forecasts tab specify the required output files.



## CHANGING THE CLIMATOLOGICAL PERIOD

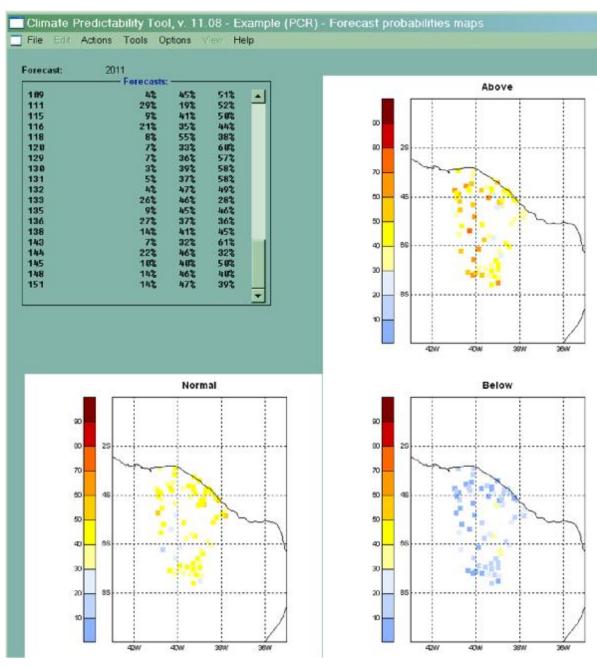


By default, the forecast probabilities are calculated relative to a climatological period that is the same as the training period. To change the climatological period go to:

Options ~ Climatological Period



# **FORECAST MAPS**



#### Tools ~ Forecast ~ Maps

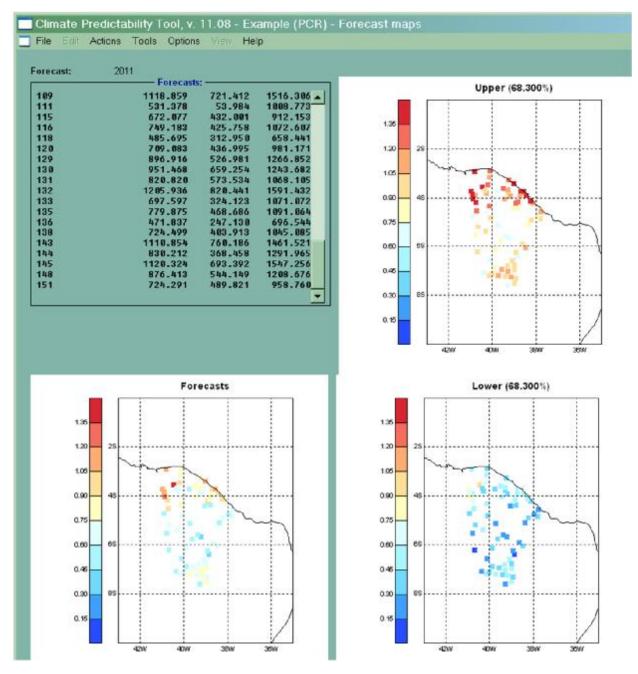
The option Maps lets you see maps of your forecasts – either maps of the probabilities or maps of the actual forecast values.

The forecast probabilities map lists the probabilities for each category at each location as well as the spatial distribution of the probabilities.

In this example, the belownormal category has the lowest probability over most of north-east Brazil.



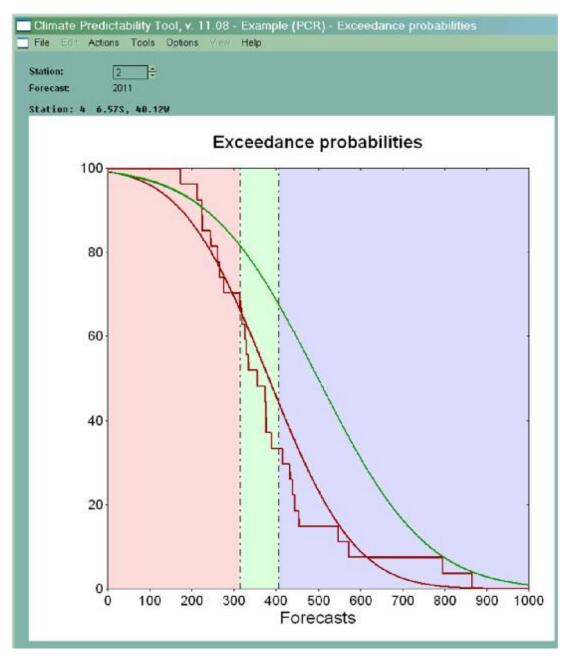
# **FORECAST MAPS**



The forecast values map lists the actual forecast values for each category at each location as well as the spatial distribution of the values.



# **EXCEEDANCE PROBABILITIES**



To draw the probabilities of exceedance go to:
Tools ~ Forecast ~

Tools ~ Forecast ~ Exceedances



## **CONCLUSIONS**

- For further details, read the help page of each menu and option.
- Subscribe to the user-list to be advised of updates: <a href="http://iri.columbia.edu/climate/tools/CPT/">http://iri.columbia.edu/climate/tools/CPT/</a>
- We want to hear from you. Your comments and questions help us to improve the CPT so do not hesitate to write to us at: <a href="mailto:cpt@iri.columbia.edu">cpt@iri.columbia.edu</a>

